

Optimal control of free-boundary systems applied to continuous casting process*

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सार — एक सतत ढलाई विधि से संबद्ध किसी अबाध सीमा प्रणाली के लिए एक अनुकूलतम नियंत्रण नियंत्रण के हल की सामान्य विधि प्रस्तुत की गई है। सर्वप्रथम प्रणाली के इन्थालपी फलन के उपयोग द्वारा भौतिक नियंत्रण सुवृद्ध किया जो कि अनुकूलतम एकदिष्ट कारक है। योसिदा सन्निकटन के प्रयोग द्वारा हमने आवश्यक अनुकूलतम दर्शाए प्राप्त की। तब हमने प्रवणता विधि का उपयोग संख्यात्मक रूप से किया। अन्ततः दो निरुद्ध मामलों के लिए कुछ संख्यात्मक परिणाम प्रस्तुत किए गए हैं।

ABSTRACT. We present a general method to solve an optimal control problem for a free-boundary system associated with a continuous casting process. Firstly, we formulate the physical problem by using the enthalpy function of the system, which is a maximal monotone operator. By the introduction of the Yosida approximation, we obtain necessary optimality conditions. Then numerically, we use a gradient method. Finally some numerical results are presented for two cases of constraints.

1. Introduction

The expansion of the continuous casting process has been very important since ten years, principally for two reasons : an economic motivation, for this technique the price of the production is less than for the classical method and a metallurgical reason, connected to the quality of the steel. For those facts, it is very interesting to develop methods to optimize the productivity of a such system. Mathematically, we have a free-boundary problem (solidification of the steel) of Stefan type. The numerical methods to solve a such problem have been very extensively studied, in particular by the use of variational inequalities (Duvaut 1976, Lions 1975). In this paper, we formulate the problem have been very extensively studied, in partition, we can study very general problems (non-linear diffusion operator, general boundary conditions, ...). The optimal control problem, we consider, is to determine a control (exchange coefficient between water and steel) to maximize the speed of the cast, with different structural and metallurgical constraints. With simplified problem, we present in this paper a numerical method to compute the optimal control.

In the first part, we explain the physical problem and the mathematical formulation. In a second part, we define, for a semi-discretized problem, necessary

optimality conditions and we propose a numerical method based on the gradient algorithm. Finally in a last part, we present some numerical results.

2. The continuous casting process

2.1. The physical system

The principle of the continuous casting process is to cast the steel in a mould the bottom of which is constituted by the solidified ingot, which is continuously extracted. The scheme of a such system is given in Fig. 1.

The steel is casted continuously in a copper mould by a nozzle. At the end of the mould, a very small thickness, which is sufficient to avoid break out, is solidified. In a second part, the steel is cooled by a spray system, which is divided in different zones. Each zone is independent. At the end of this part, the steel is cut by a cutting torch.

We distinguish several types of products, following their dimensions : slabs, blooms, billets.

2.2. The state equation

To modelize a such system, we consider the following equations. T_1 (resp. T_2) denotes the temperature

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of the steel in the liquid (resp. solid) phase. T_1 verifies the heat equation :

$$\rho V \frac{\partial T_1}{\partial t} - \Delta T_1 = 0 \quad (2.1)$$

with V the speed of extraction,
 c_1 the heat capacity of the liquid,
 ρ the density of the steel

In the solid phase, we have similarly the equation :

$$\rho V \frac{\partial T_2}{\partial t} - \Delta T_2 = 0 \quad (2.2)$$

with c_2 the heat capacity of the solid.

Along the front of solidification $S(t)$ the free-boundary we have the two conditions :

$$T_1 |_{S(t)} = T_2 |_{S(t)} = T_S \quad (T_S \text{ temperature of solidification}) \quad (2.3)$$

$$\text{grad } T_1 \cdot \mathbf{n} - \text{grad } T_2 \cdot \mathbf{n} - L \mathbf{q} \cdot \mathbf{n} \quad (2.4)$$

with L the latent heat of solidification

$\mathbf{q} \cdot \mathbf{n}$ the normal speed of the free-boundary.

Finally, we give the boundary conditions and the initial conditions. To simplify the presentation, we consider the unique boundary condition :

$$\frac{\partial T}{\partial n} + h(T - T_e) |_{\Sigma} = 0 \quad (2.5)$$

with h a exchange coefficient between the steel and the water, T_e the temperature of the water.

In practice in the mould, the flux of heat $\partial T / \partial n$ is given and for the spray-system, we have similar conditions as above. As initial condition, we take :

$$T(x, 0) = T_0(x) \quad (2.6)$$

3. The optimal control problem

The optimal control problem is to find h (coefficient of exchange) which maximize the speed of extraction such that structural and metallurgical constraints are verified. More precisely, we have the constraints;

- (i) the quantity of water, we can use, is bounded.
- (ii) the steel must be completely solidified before the cutting-torch.
- (iii) at the unbending point, the temperature must verify the condition :

$$T \in]T_1, T_2[$$

- (iv) the gradient of the temperature along the boundary is bounded.

In this paper, we don't consider exactly this problem but a sub-problem : to find an admissible control h such that the state of the system verifies constraints, (i), (ii), (iii). So mathematically, we have the following problem. If T denotes the temperature of the solid and

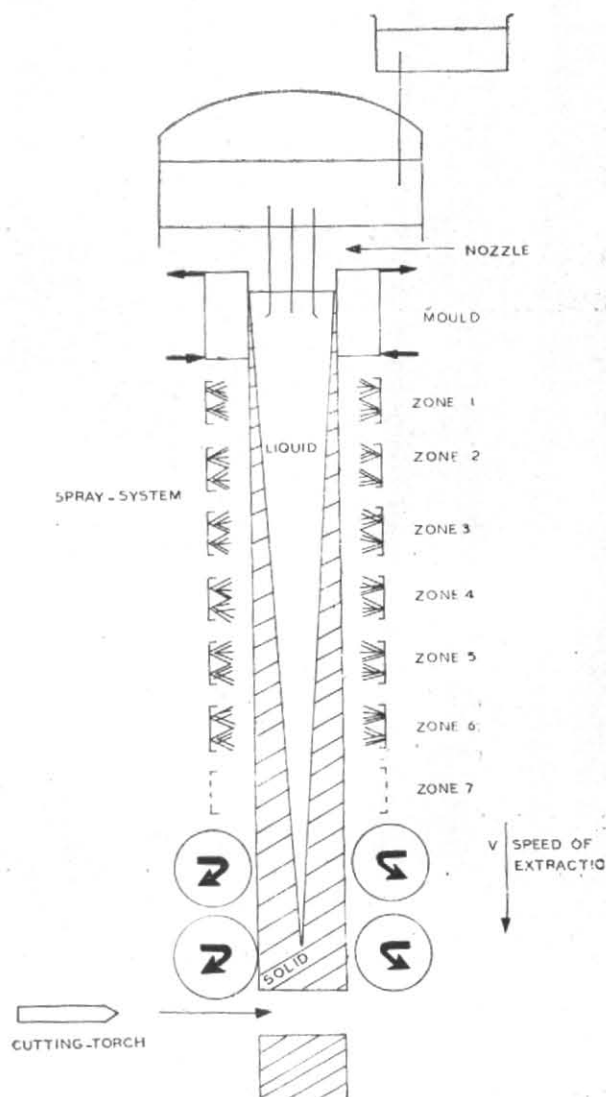


Fig. 1. Continuous casting process

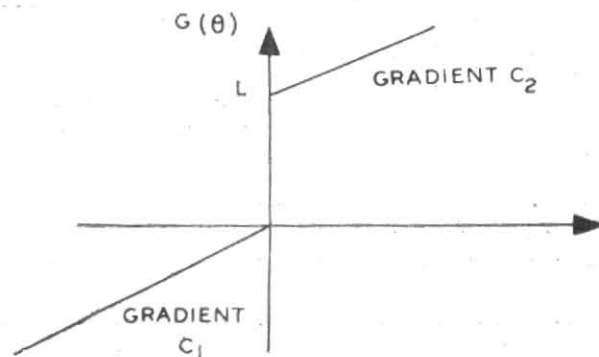


Fig. 2

the liquid and if $\theta = T_S - T$, it is easy to prove that θ is solution of the system :

$$\begin{cases} \rho V \frac{\partial u}{\partial t} - \Delta \theta = 0; u \in G(\theta) \end{cases} \quad (3.1)$$

$$\begin{cases} \frac{\partial \theta}{\partial n} + h(\theta - \theta_e) |_{\Sigma} = 0 \end{cases} \quad (3.2)$$

$$\begin{cases} \theta(x, 0) = \theta_0(x); u(x, 0) = u_0(x) \in G(\theta_0) \end{cases} \quad (3.3)$$

with $\theta_e = T_S - T_e$ and $G(\theta) = H(T_S - \theta)$ (H enthalpy for the system). G is a maximal monotone operator, the graph of which is given in Fig. 2.

We assume that :

$$h = \sum_{i=1}^{N_Z} h_i X_{E_i}, h_i \in \mathbf{R}^+ \quad (3.4)$$

with N_Z number of zones of the spray-system. X_{E_i} characteristic function of the zone E_i .

Then we introduce the functional :

$$J(h) = \left\| (u-L)^- \right\|_{L^2(Q)}^2 \quad (3.5)$$

We consider two types of constraints :

Case 1

$$\begin{cases} 0 < h_{im} \leq h_i \leq h_{iM} \\ \sum_{i=1}^{N_Z} \alpha_i h_i \leq D_M \end{cases} \quad (3.6)$$

Case 2

$$\begin{cases} 0 < h_{im} \leq h_i \leq h_{iM} \\ \theta(x, t_1) \leq \theta_1 \end{cases} \quad (3.7)$$

which correspond respectively to the constraints (ii) and (iii). So the optimal control problem is to find \bar{h} in the admissible set of controls U_{ad} such that :

$$J(\bar{h}) \leq J(h) \quad \forall h \in U_{ad} \quad (3.8)$$

Remark 2.1

The problem is, in fact, a particular case of a general optimal control problem of a Stefan system. So the method, we present in the following part, is general for all problems of this type.

4. Numerical method

4.1. Transformation of the state equation

Following a result of a Bermudez & Moreno (1978), we have the equivalence :

$$u \in G(\theta) \Leftrightarrow u = G_\mu(\theta + \mu u) \quad (4.1)$$

where G_μ is the Yosida approximation of G .

So, if we introduce $G_\omega(\theta) = G(\theta) - \frac{\omega}{\rho V} \theta$, with

$0 < \omega < \rho V \text{ Min}(c_1, c_2)$ and G^μ the Yosida approximation of G_ω (G^μ is a strictly monotone operator),

can write the state equation (3.2)-(3.3) as :

$$\begin{cases} \rho V \frac{\partial w}{\partial t} - \Delta \theta + \omega \theta = 0; w \in G_\theta^\mu(\theta + \mu w) \end{cases} \quad (4.2)$$

$$\begin{cases} \frac{\partial \theta}{\partial n} + h(\theta - \theta_e) |_{\Sigma} = 0 \end{cases} \quad (4.3)$$

$$\begin{cases} \theta(x, 0) = \theta_0(x), w(x, 0) = w_0(x) = \\ u_0 - \frac{\omega}{\rho V} \theta_0 \in G_\omega(\theta_0) \end{cases} \quad (4.4)$$

Then we introduce the system, semi-discretized by an implicit scheme :

$$\begin{cases} \rho V \left(\frac{W^{n+1} - W^n}{k}, \psi \right) + \frac{\omega}{k} (\theta^{n+1} - \theta^n, \psi) + \\ (\text{grad } \theta^{n+1}, \text{grad } \psi) + \int_{\Gamma} h^{n+1} (\theta^{n+1} - \theta_e^{n+1}) \psi d\Gamma = 0 \\ \forall \psi \in H^1(\Omega) \end{cases} \quad (4.5)$$

$$\begin{cases} W^{n+1} \in G_\omega(\theta^{n+1}) = G(\theta^{n+1}) - \frac{\omega}{\rho V} \theta^{n+1} \\ n = 0, \dots, NT-1 \end{cases} \quad (4.6)$$

$$\begin{cases} \theta^0 = \theta_0(x), W^0 = u_0 - \frac{\omega}{\rho V} \theta_0 \end{cases} \quad (4.7)$$

For the functional J , we take :

$$J(h) = \sum_{n=1}^{NT} \left\| (u^n - L)^- \right\|_{L^2(\Omega)}^2 \quad (4.8)$$

4.2. Resolution of the state equation

To solve in (W^{n+1}, θ^{n+1}) the system (4.5)-(4.6), we propose the following algorithm. At each step ($n+1$), we do the iterations $[(W^n, \theta^n)$ is given] :

$$\begin{aligned} & \frac{\omega}{k} (\theta_j^{n+1}, \psi) + (\text{grad } \theta_j^{n+1}, \text{grad } \psi) + \\ & + \int_{\Gamma} h^{n+1} (\theta_j^{n+1} - \theta_e^{n+1}) \psi d\Gamma = - \frac{\rho V}{k} (W^{n+1} \\ & - W^n, \psi) + \frac{\omega}{k} (\theta^n, \psi) \quad \forall \psi \in H^1(\Omega) \end{aligned} \quad (4.9)$$

$$W_{j+1}^{n+1} = G^\mu(\theta_j^{n+1} + \mu W_j^{n+1}) \quad (4.10)$$

Eqn. (4.10) is well-defined with the properties of G^μ . We have the result of convergence

Proposition 1

for $\mu > \mu_0 = \frac{\rho V}{k} \times \frac{1}{2 \text{ Min } 1, \left(\frac{\omega}{k}\right)}$, we have,

$$\begin{aligned} W_{j+1}^{n+1} & \longrightarrow W^{n+1} \text{ in } L^2(\Omega) \text{ weakly} \\ \theta_{j+1}^{n+1} & \longrightarrow \theta^{n+1} \text{ in } H^1(\Omega) \text{ strongly} \end{aligned}$$

Demonstration

We know that G^μ is a Lipschitzian operator; so we obtain :

$$\begin{aligned} \left\| W^{n+1} - W_{j+1}^{n+1} \right\|_{L^2}^2 & \leq \frac{1}{\mu^2} \left\| \theta^{n+1} + \right. \\ & \left. + \mu W^{n+1} - \theta_j^{n+1} - \mu W_j^{n+1} \right\|_{L^2}^2 \end{aligned} \quad (4.11)$$

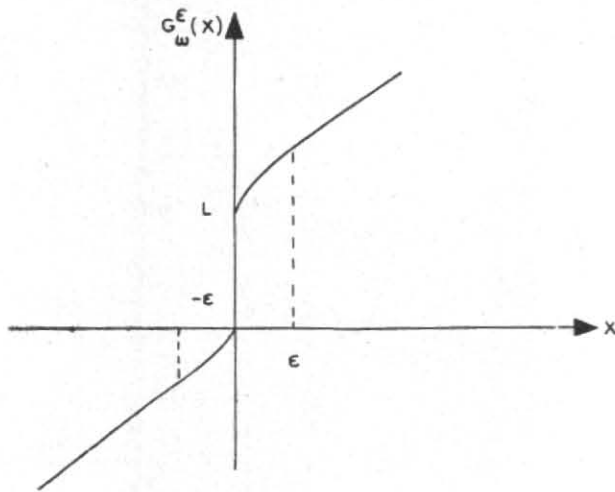


Fig. 3

TABLE 1

Conductivity $\lambda(T)$

$T(^{\circ}\text{C})$	$\lambda(\text{cal/cm/s/}^{\circ}\text{C})$	$T(^{\circ}\text{C})$	$\lambda(\text{cal/cm/s/}^{\circ}\text{C})$
550	0,091	1100	0,068
600	0,086	1150	0,070
650	0,081	1200	0,071
700	0,076	1250	0,072
750	0,071	1300	0,073
800	0,068	1350	0,075
850	0,065	1400	0,076
900	0,064	1450	0,077
950	0,065	1500	0,078
1000	0,066	1550	0,079
1050	0,067	1600	0,080

$$\leq \left\| \left\| W^{n+1} - W_j^{n+1} \right\| \right\|_{L^2}^2 + \frac{2}{\mu} (\theta^{n+1} - \theta_j^{n+1}, W^{n+1} - W_j^{n+1}) + \frac{1}{\mu^2} \left\| \left\| \theta^{n+1} - \theta_j^{n+1} \right\| \right\|_{L^2}^2 \quad (4.11)$$

From (4.9), we obtain :

$$\left\| \left\| \text{grad} (\theta^{n+1} - \theta_j^{n+1}) \right\| \right\|_{L^2}^2 + \frac{\omega}{k} \left\| \left\| \theta^{n+1} - \theta_j^{n+1} \right\| \right\|_{L^2}^2 + \frac{\rho V}{k} (W^{n+1} - W_j^{n+1}, \theta^{n+1} - \theta_j^{n+1}) + \int_{\Gamma} h (\theta^{n+1} - \theta_j^{n+1})^2 d\Gamma = 0 \quad (4.12)$$

Then $\frac{\rho V}{k} (W^{n+1}, W_j^{n+1}, \theta^{n+1} - \theta_j^{n+1}) \leq -\text{Min} \left(1, \frac{\omega}{k} \right) \left\| \left\| \theta^{n+1} - \theta_j^{n+1} \right\| \right\|_{H^1(\Omega)}^2$

and from (4.11)-(4.12) :

$$\left\| \left\| W^{n+1} - W_j^{n+1} \right\| \right\|_{L^2(\Omega)}^2 \leq \left\| \left\| W^{n+1} - W_j^{n+1} \right\| \right\|_{L^2(\Omega)}^2 + K \left\| \left\| \theta^{n+1} - \theta_j^{n+1} \right\| \right\|_{H^1(\Omega)}^2 \quad (4.13)$$

with $K = \frac{1}{\mu^2} - \frac{2}{\mu} k \frac{\text{Min} (1, \frac{\omega}{k})}{\rho V} < 0$ for $\mu > \mu_0$

So with $\mu > \mu_0$, $\left\| \left\| W^{n+1} - W_{j+1}^{n+1} \right\| \right\|_{L^2(\Omega)}^2$ is a bounded decreasing sequence in \mathbf{R} . Then from (4.13) we deduce that :

$$\left\| \left\| \theta^{n+1} - \theta_j^{n+1} \right\| \right\|_{H^1(\Omega)} \xrightarrow{\quad} 0$$

and from (4.9), we conclude that

$$W_j^{n+1} \xrightarrow{\quad} W^{n+1} \text{ in } L^2(\Omega) \text{ weakly.}$$

4.3. Determination of the optimal control

In fact we compute the optimal control of a regularized problem, for which we can prove the existence of optimality conditions. So a gradient method can be used.

For this, we regularize G_{ω} by G_{ω}^{ϵ} , the graph of which is given in Fig. 3, such that G_{ω}^{ϵ} is the sub-differential of a strictly ϵ -convex, l.s.c., proper function ψ_{ω}^{ϵ} . We suppose that $G_{\omega}^{\epsilon, \mu} \in C^2(\mathbf{R})$ and that

$$\left| \psi_{\omega}^{\epsilon}(x) - \psi_{\omega}(x) \right| \leq k(\epsilon) |x| \text{ with } \begin{cases} k(\epsilon) \rightarrow 0 \\ \epsilon \rightarrow 0 \end{cases}$$

Then, the regularized problem is the initial system in which we have replaced $W \in G_{\omega}(\theta)$ by $W \in G_{\omega}^{\epsilon}(\theta)$. So if we consider the optimal control problem associated with this regularized state equation, we prove the existence of an optimal control h_{ϵ} and that the sequence $\{h_{\epsilon}\}$ converges to be solution of the initial problem. But the main result is that we have the following optimality conditions :

State equation

$$\begin{cases} \frac{\rho V}{k} (W_{\epsilon}^{n+1} - W_{\epsilon}^n, \psi) + (\text{grad } \theta_{\epsilon}^{n+1}, \text{grad } \psi) + \frac{\omega}{k} (\theta_{\epsilon}^{n+1} - \theta_{\epsilon}^n, \psi) + \int_{\Gamma} h_{\epsilon}^{n+1} (\theta_{\epsilon}^{n+1} - \theta_{\epsilon}^n) \psi d\Gamma = 0 \quad \forall \psi \in H^1(\Omega) \end{cases} \quad (4.14)$$

$$W_{\epsilon}^{n+1} = G_{\omega}^{\epsilon, \mu}(\theta_{\epsilon}^{n+1} + \mu W_{\epsilon}^{n+1}) \quad n = 0, \dots, NT-1 \quad (4.15)$$

$$\begin{cases} \theta_{\epsilon}^0 = \theta_0; W_{\epsilon}^0 = W_{\epsilon}^0 \in G_{\omega}^{\epsilon}(\theta_0) \end{cases} \quad (4.16)$$

Adjoint state equation

$$\left\{ \begin{aligned} & \frac{\rho V}{k} \left\{ q_{\epsilon}^n G_{\omega}^{\epsilon, \mu} (\theta_{\epsilon}^{n+1} + \mu W_{\epsilon}^{n+1}), \psi \right\} + (\text{grad } p_{\epsilon}^n, \text{grad } \psi) + \\ & + \frac{\omega}{k} (p_{\epsilon}^n - p_{\epsilon}^{n+1}, \psi) + \\ & + \int_{\Gamma} h_{\epsilon}^{n+1} p_{\epsilon}^n \psi d\Gamma = -2 \left\{ u_{\epsilon}^{n+1} - L \right\}^{-}, \psi \} \\ & \nabla \psi \in H^1(\Omega) \end{aligned} \right. \quad (4.17)$$

$$p_{\epsilon}^n - p_{\epsilon}^{n+1} = q_{\epsilon}^n \left\{ 1 - \mu G_{\omega}^{\epsilon, \mu} (\theta_{\epsilon}^{n+1} + \mu W_{\epsilon}^{n+1}) \right\} \quad (4.18)$$

$$\begin{aligned} n=0, \dots, NT-1 \\ p_{\epsilon}^{NT} = 0 \end{aligned} \quad (4.19)$$

Optimality conditions

$$\sum_{n=1}^{NT} \int_{\Gamma} (\theta_{\epsilon}^n - \theta) p_{\epsilon}^{n-1} (s^n - h_{\epsilon}^n) d\Gamma \leq 0 \quad \forall \{s^n\}_{\epsilon} U_{ad} \square \quad (4.20)$$

The demonstration of this result is given in Saguez (*loc. cit.*). We prove the G -differentiability of θ_{ϵ} and W_{ϵ} with respect to h and so, by the introduction of a well chosen adjoint state, we obtain the condition (4.20).

Remark 4.1

It is important to see that G is still a multivoque operator. Then by this method we obtain optimality conditions when $m \{(x, t) \mid \theta(x, t) = 0\} > 0$. So we remark that this method is very general. \square

With the above results, we can compute the optimal control by a gradient method. For the case 1 (constraints on the control), we use the following algorithm introduced by Henry (1978). At each iteration, if we denote by $\{h_i^l\}$ the last control and by $\{G_i^l\}$ the associated gradient, we obtain $\{h_i^{l+1}\}$ by the iterations:

$$\begin{aligned} h_i^{l+1, j} &= \text{Max} \left[h_{im}^j, \text{Min} \left\{ h_i^j, h_{iM}^j - \rho \left(G_i^l - \lambda \alpha_i^j \right) \right\} \right] \\ \lambda^{j+1} &= \text{Max} (0) \left[\lambda^j - \rho \left(\sum_i \alpha_i h_i^{l+1, j} - D \right) \right] \end{aligned} \quad (4.21)$$

In fact, this algorithm corresponds to a gradient method with projection. Its implementation is very easy.

For the case 2 (constraint on the state); we use a penalty method.

5. Numerical results

5.1. The data

We have solved the problem for a real continuous casting. So the state equation is not exactly the same than in the Chapter 3. In particular, the conductivity

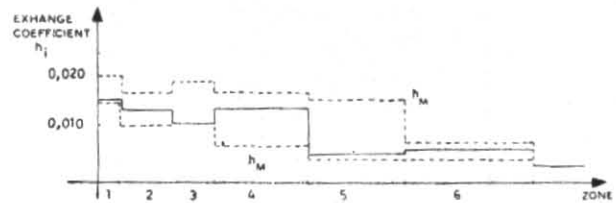


Fig. 4. Optimal control

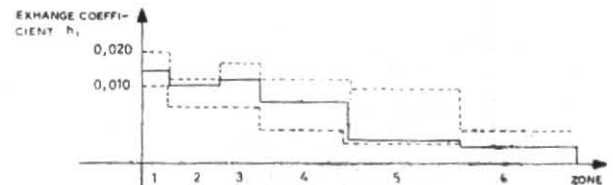


Fig. 5. Optimal control

λ is a function of the temperature (see Table 1). We have considered the one-dimensional case. The state T is solution of the system:

$$\rho V \frac{\partial v}{\partial t} - d\omega [\lambda(T) \text{grad } T] = 0; v \in H(T) \text{ for } x \in]0, R[; t \in]0, T[\quad (5.1)$$

In the mould, we have the boundary condition:

$$\lambda(T) \cdot \text{grad } T \cdot n = g \quad \text{for } x=0 \quad (5.2)$$

For the spray system, we have:

$$\lambda(T) \cdot \text{grad } T \cdot n = -h(T - T_e) \quad \text{for } x=0 \quad (5.3)$$

And for $x=R$, the condition is:

$$\lambda(T) \cdot \text{grad } T \cdot n = 0 \quad (5.4)$$

The initial condition is always:

$$T(x, 0) = T_0(x); v(x, 0) = v_0(x) \in H(T_0) \quad (5.5)$$

With these equations we have the following data:

Structural data:

- Length of the mould 70 cm
- Spray-system:

zone 1	60 cm
zone 2	200 cm
zone 3	150 cm
zone 4	350 cm
zone 5	390 cm
zone 6	430 cm
zone 7	1250 cm

Total length of the installation 29 m.

$R=12, 5$ cm (which corresponds to a slab)

Physical Data

$$c_1 = 0,2 \text{ cal/g}^\circ\text{C}; \quad c_2 = 0,16 \text{ cal/g}^\circ\text{C}$$

$$L = 59,09 \text{ cal/g}; \quad T_s = 1502 \text{ }^\circ\text{C}$$

Density of the steel $\rho = 7 \text{ g/cm}^3$

Initial temperature $T_0 = 1537 \text{ }^\circ\text{C}$

The step of discretization is $k=10$ cm, $\Delta x = 12,5/40$ cm.

TABLE 2
Flux in the mould

mould (cm)	flux (cal/cm ² /s)
10	20,70
20	48,98
30	45,46
40	37,59
50	32,36
60	26,28
70	26,89

5.2. Numerical results

Case 1

$$\begin{cases} h_{im} \leq h_i \leq h_{iM} \\ \sum_{i=1}^7 \alpha_i h_i = D \end{cases} ; V = 1,50 \text{ m/mn.}$$

We take the data (Table 3)

TABLE 3

zone	h_{im}	h_{iM}	α
1	0,015	0,020	0,40
2	0,010	0,016	0,5790
3	0,010	0,018	0,4902
4	0,0060	0,0157	0,8285
5	0,0030	0,0144	1,0492
6	0,0030	0,0066	0,9125
7	0,0025	0,025	0

We obtain the results :

Zone	1	2	3	4	5	6
h_i (cal/cm ² s° C)	0,015	0,0128	0,010	0,0132	0,00439	0,00483

Case 2

$$\begin{cases} h_{im} \leq h_i \leq h_{iM} \\ \theta(x, t_1) \leq \theta_0 \end{cases} ; V = 0,9 \text{ m/mm}$$

$$\text{with } \begin{cases} \theta_1 = 502 \text{ }^\circ\text{C} \\ t_1 = 16 \text{ m} \end{cases}$$

and we consider only the first six zones of the spray-system. For a penalty coefficient $\epsilon = 2 \times 10^{-1}$, we obtain the optimal control :

Zone	1	2	3	4	5	6
h_i (cal/cm ² s° C)	0,01858	0,01544	0,01576	0,01146	0,00313	0,003

In each case, the computer time is approximately equal to 2 mn on IBM 370-168.

The above method has been very efficient to solve the studied problem. The next step of this work, is to use this method to develop a system of regulation for a continuous casting process. Also this method is general, and can be used for many other multiphase systems.

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